Draft - Revised Uniform Law on Notarial Acts Commission on Interstate Cooperation November 19, 2013

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                                  (By )
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                              [Introduced ]
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10 A BILL to repeal $29-4-3, $29-4-4, $29-4-5, $29-4-6, $29-4-7, $29-
        4-8, $29-4-12, $29-4-13, $29-4-14, $29-4-15 and $29-4-16 of
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12
        the Code of West Virginia, 1931, as amended; to repeal §29C-1-
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        101, $29C-1-102, $29C-1-103, $29C-1-104, $29C-1-105, $29C-1-
        106, $29C-1-107, $29C-2-201, $29C-2-202, $29C-2-203, $29C-2-
14
        204, $29C-2-205, $29C-2-206, $29C-2-207, $29C-2-208, $29C-2-
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        301, §29C-3-101, §29C-3-102, §29C-4-101, §29C-4-102, §29C-4-
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        103, $29C-4-104, $29C-4-201, $29C-4-202, $29C-4-203, $29C-4-
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        301, $29C-4-401, $29C-4-402, $29C-4-403, $29C-4-404, $29C-4-
        405, $29C-5-101, $29C-5-102, $29C-5-103, $29C-5-104, $29C-6-
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        101, $29C-6-102, $29C-6-103, $29C-6-201, $29C-6-202, $29C-6-
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        203, $29C-6-204, $29C-7-101, $29C-7-201, $29C-7-202, $29C-8-
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        101 and $29C-9-101 of said code; to repeal $39-1A-1, $39-1A-2,
23
        \$39-1A-3, \$39-1A-4, \$39-1A-5, \$39-1A-6, \$39-1A-7, \$39-1A-8 and
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        $39-1A-9, of said code; to amend and reenact $39-1-4 and $39-1
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        1-5 of said code; to amend said code by adding thereto a new
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1 article, designated §39-4-1, §39-4-2, §39-4-3, §39-4-4, §39-4-2 5, \$39-4-6, \$39-4-7, \$39-4-8, \$39-4-9, \$39-4-10, \$39-4-11, 3 \$39-4-12, \$39-4-13, \$39-4-14, \$39-4-15, \$39-4-16, \$39-4-17, \$39-4-18, \$39-4-19, \$39-4-20, \$39-4-21, \$39-4-22, \$39-4-23, 4 5 \$39-4-24, \$39-4-25, \$39-4-26, \$39-4-27, \$39-4-28, \$39-4-29, \$39-4-30, \$39-4-31, \$39-4-32, \$39-4-33, \$39-4-34, \$39-4-35, 6 7 \$39-4-36, \$39-4-37 and \$39-4-38; to amend and reenact \$57-4-2of said code; to amend and reenact §57-5-9 of said code; and 8 9 to amend and reenact §59-1-2 of said code, all relating to the 10 Revised Uniform Law on Notarial Acts; establishing the 11 effective date of the article; establishing an operative date 12 of enactment and the effect on existing law; establishing the 13 authority to perform notarial acts; establishing requirements 14 for certain notarial acts; requiring a personal appearance and 15 the identification of an individual; authorizing the right to 16 refuse to perform a notarial act; establishing instructions 17 for obtaining a signature if an individual is unable to sign; 18 setting forth who may perform a notarial act in this state; 19 establishing notarial reciprocity with other states, any 20 federally recognized Indian tribe, the federal government, and 21 foreign states; requiring a certificate for a notarial act; 22 authorizing short form certificates; requiring an official 23 stamp and the maintenance and disposition of a stamping 24 device; requiring notaries public to keep a journal of 25 notarial acts; authorizing notaries public the option of

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selecting a technology for use in notarial acts on electronic records; establishing minimum qualifications and authorizing the commissioning of notaries public; requiring a bond; requiring notaries public pass an examination after a course of study offered by the Secretary of State; providing grounds to deny, refuse to renew, revoke, suspend, or condition commissions of notaries public; requiring Secretary of State to maintain a database of notaries public; prohibiting certain acts; authorizing the validity of notarial acts; authorizing the Secretary of State to promulgate rules; authorizing the continuation of a commission in effect on the effective date of the act; providing that any notarial act performed before the effective date of the act is not invalidated by the act; providing for the uniformity of the application construction of the act; clarifying the relationship to the Electronic Signatures in Global and National Commerce Act; establishing maximum fees that may be charged by a notary public; commissioning notaries public for state and local government; establishing civil liability and criminal penalties; authorizing injunctive relief; authorizing the Secretary of State to investigate complaints; requiring the Secretary of State to maintain certain records; establishing an application fee; providing for the disposition of fees; statutes regulating notaries public repealing commissioners including the Uniform Notary Act; repealing the

1 Uniform Recognition of Acknowledgments Act; and removing 2 obsolete references. 3 Be it enacted by the Legislature of West Virginia: That \$29-4-3, \$29-4-4, \$29-4-5, \$29-4-6, \$29-4-7, \$29-4-8, 5 \$29-4-12, \$29-4-13, \$29-4-14, \$29-4-15 and \$29-4-16 of the Code of 6 West Virginia, 1931, as amended, be repealed; that \$29C-1-101, 7 \$29C-1-102, \$29C-1-103, \$29C-1-104, \$29C-1-105, \$29C-1-106, \$29C-1-8 107, \$29C-2-201, \$29C-2-202, \$29C-2-203, \$29C-2-204, \$29C-2-205, 9 \$29C-2-206, \$29C-2-207, \$29C-2-208, \$29C-2-301, \$29C-3-101, \$29C-3-10 102, \$29C-4-101, \$29C-4-102, \$29C-4-103, \$29C-4-104, \$29C-4-201, 11 \$29C-4-202, \$29C-4-203, \$29C-4-301, \$29C-4-401, \$29C-4-402, \$29C-4-12 403, \$29C-4-404, \$29C-4-405, \$29C-5-101, \$29C-5-102, \$29C-5-103, 13 \$29C-5-104, \$29C-6-101, \$29C-6-102, \$29C-6-103, \$29C-6-201, \$29C-6-14 202, \$29C-6-203, \$29C-6-204, \$29C-7-101, \$29C-7-201, \$29C-7-202, 15 §29C-8-101 and §29C-9-101 of said code be repealed; that §39-1A-1, 16 §39-1A-2, §39-1A-3, §39-1A-4, §39-1A-5, §39-1A-6, §39-1A-7, §39-1A-17 8 and \$39-1A-9, of said code be repealed; that \$39-1-4 and \$39-1-5, 18 of said code be amended and reenacted; that said code be amended by 19 adding thereto a new article, designated §39-4-1, §39-4-2, §39-4-3, 20 \$39-4-4, \$39-4-5, \$39-4-6, \$39-4-7, \$39-4-8, \$39-4-9, \$39-4-10, 21 \$39-4-11, \$39-4-12, \$39-4-13, \$39-4-14, \$39-4-15, \$39-4-16, \$39-4-22 17, \$39-4-18, \$39-4-19, \$39-4-20, \$39-4-21, \$39-4-22, \$39-4-23, 23 \$39-4-24, \$39-4-25, \$39-4-26, \$39-4-27, \$39-4-28, \$39-4-29, \$39-4-24 30, \$39-4-31, \$39-4-32, \$39-4-33, \$39-4-34, \$39-4-35, \$39-4-36,

25 \$39-4-37 and \$39-4-38; that \$57-4-2, of said code be amended and

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1 reenacted; that §57-5-9, of said code be amended and reenacted and 2 that §59-1-2, of said code be amended and reenacted, all to read as 3 follows: CHAPTER 39. RECORDS AND PAPERS. 5 ARTICLE 1. AUTHENTICATION AND RECORD OF WRITINGS. 6 §39-1-4. Form of certificate of acknowledgment. 7 The certificate of acknowledgment mentioned in the preceding 8 section may be in form or effect as follows: State (territory or district) of \_\_\_\_\_, county of 10 \_\_\_\_\_, to wit: I, , a commissioner, appointed by the 11 12 Governor of the State of West Virginia, for the said State (or 13 territory or district) of \_\_\_\_\_\_; or I, \_\_\_\_\_\_, a 14 justice of the peace of the county aforesaid; or I, \_\_\_\_\_, 15 recorder of said county municipality; or I, \_\_\_\_\_, a 16 notary public of said county; or I, \_\_\_\_\_, a 17 <del>prothonotary (or clerk)</del> <u>clerk</u> of the court of 18 said county; (or other officer or person authorized to take 19 acknowledgments by section three of this article, as the case may 20 be), do certify that \_\_\_\_\_, whose name (or names) 21 is (or are) signed to the writing above (or hereto annexed) bearing 22 date on the \_\_\_\_\_,  $\frac{19}{2}$  \_\_\_\_\_, has (or 23 have) this day acknowledged the same before me, in my said Given under my hand this \_\_\_\_\_ day of \_\_\_\_\_,

1 <del>19</del> <u>2</u>\_\_\_\_.

2	§39-1-5. Acknowledgment by husband and wife.
3	When a husband and wife have signed a writing purporting to
4	sell or convey real estate, the wife may acknowledge the same
5	together with, or separately from her husband. Either the husband
6	or the wife may sign and acknowledge such writing before the other
7	has signed or acknowledged it. If both acknowledge such writing at
8	the same time, the certificate of such acknowledgments may be in
9	form or effect as follows:
10	State (territory or district) of county of
11	, to wit:
12	I,, a commissioner appointed by the Governor of the
13	State of West Virginia for the said State of (or
14	territory or district of); or I,, a justice
15	of the peace of the said county of; or I,, a
16	notary public of the said county of; or I,,
17	<pre>prothonotary (or clerk) clerk of the court or county of</pre>
18	; (or other officer or person authorized to take
19	acknowledgments by section three of this article, as the case may
20	be),* do certify and, his <u>or her</u> wife whose
21	names are signed to the writing above (or hereto annexed) bearing
22	date the day of, $\frac{19}{2}$ $\underline{2}$ , have this day
23	acknowledged the same before me in my said
24	Given under my hand this day of, $\frac{19}{}$
25	<u>2</u>

If the husband or wife acknowledge a deed or other writing 1 2 separately from the other, the certificate of acknowledgment after 3 the star in the foregoing form shall be in form or effect as 4 follows: do certify that ....., the wife of ....., (or 5 the husband of ....., as the case may be), whose name is 6 signed to the writing above (or hereto annexed) bearing date the 7 ..... day of .....,  $\frac{19}{2}$  2 ...., has this day acknowledged 8 the same before me in my said ...... Given under my hand this  $\dots$  day of  $\dots$  2 9 10 .... 11 ARTICLE 4. REVISED UNIFORM LAW ON NOTARIAL ACTS. 12 **§39-4-1**. **Short title**. 13 This article may be cited as the Revised Uniform Law on 14 Notarial Acts. 15 **§39-4-2. Definitions.** 16 In this article: 17 (1) "Acknowledgment" means a declaration by an individual 18 before a notarial officer that the individual has signed a record 19 for the purpose stated in the record and, if the record is signed 20 in a representative capacity, that the individual signed the record 21 with proper authority and signed it as the act of the individual or 22 entity identified in the record. (2) "Electronic" means relating to technology having 23 24 electrical, digital, magnetic, wireless, optical, electromagnetic, 25 or similar capabilities.

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- 1 (3) "Electronic signature" means an electronic symbol, sound,
  2 or process attached to or logically associated with a record and
  3 executed or adopted by an individual with the intent to sign the
- 4 record.
- 5 <u>(4) "In a representative capacity" means acting as:</u>
- 6 (A) An authorized officer, agent, partner, trustee, or other
- 7 representative for a person other than an individual;
- 8 (B) A public officer, personal representative, guardian, or
- 9 other representative, in the capacity stated in a record;
- 10 (C) An agent or attorney-in-fact for a principal; or
- 11 (D) An authorized representative of another in any other
- 12 capacity.
- 13 (5) "Notarial act" means an act, whether performed with
- 14 respect to a tangible or electronic record, that a notarial officer
- 15 may perform under the law of this state. The term includes taking
- 16 an acknowledgment, administering an oath or affirmation, taking a
- 17 verification on oath or affirmation, witnessing or attesting a
- 18 signature, certifying or attesting a copy, and noting a protest of
- 19 a negotiable instrument.
- 20 (6) "Notarial officer" means a notary public or other
- 21 individual authorized to perform a notarial act.
- 22 (7) "Notary public" means an individual commissioned to
- 23 perform a notarial act by the West Virginia Secretary of State.
- 24 (8) "Official stamp" means a physical image affixed to or

- 1 embossed on a tangible record or an electronic image attached to or
- 2 <u>logically associated with an electronic record.</u>
- 3 (9) "Person" means an individual, corporation, business trust,
- 4 statutory trust, estate, trust, partnership, limited liability
- 5 company, association, joint venture, public corporation, government
- 6 or governmental subdivision, agency, or instrumentality, or any
- 7 other legal or commercial entity.
- 8 (10) "Record" means information that is inscribed on a
- 9 tangible medium or that is stored in an electronic or other medium
- 10 and is retrievable in perceivable form.
- 11 (11) "Sign" means, with present intent to authenticate or
- 12 adopt a record:
- 13 (A) To execute or adopt a tangible symbol; or
- 14 (B) To attach to or logically associate with the record an
- 15 electronic symbol, sound, or process.
- 16 (12) "Signature" means a tangible symbol or an electronic
- 17 signature that evidences the signing of a record.
- 18 (13) "Stamping device" means:
- 19 (A) A physical device capable of affixing to or embossing on
- 20 a tangible record an official stamp; or
- 21 (B) An electronic device or process capable of attaching to or
- 22 logically associating with an electronic record an official stamp.
- 23 (14) "State" means a state of the United States, the District
- 24 of Columbia, Puerto Rico, the United States Virgin Islands, or any
- 25 territory or insular possession subject to the jurisdiction of the

25 or amended and reenacted.

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1 United States. 2 (15) "Verification on oath or affirmation" means a 3 declaration, made by an individual on oath or affirmation before a 4 notarial officer, that a statement in a record is true. 5 §39-4-3. Applicability; operative date of enactment; effect on 6 existing law. 7 (a) This article applies to a notarial act performed on or 8 after July 1, 2015. 9 (b) The repeal of chapter twenty-nine-C of this code and the 10 repeal of articles four, chapter twenty-nine and one-A, chapter 11 thirty-nine of this code and the amendment and re-enactment of 12 section two, article one, chapter fifty-nine of this code, pursuant 13 to the provisions of Enrolled [Senate/House] Bill No. , as 14 enacted by the Legislature during the regular session, 2014, are 15 operative on June 30, 2015. The prior enactments of chapter twenty-16 nine-C; articles four, chapter twenty-nine and one-A, chapter 17 thirty-nine; and section two, article one, chapter fifty-nine of 18 this code, whether amended and reenacted or repealed by the passage 19 of Enrolled [Senate/House] Bill No. , have full force and 20 effect until the provisions of Enrolled [Senate/House] Bill No. 21 , are operative on June 30, 2015, unless after the 22 effective date of Enrolled [Senate/House] Bill No. , and 23 prior to the operative date of June 30, 2015, the provisions of 24 Enrolled [Senate/House] Bill No. , are otherwise repealed

## 1 §39-4-4. Authority to perform notarial act.

- 2 (a) A notarial officer may perform a notarial act authorized
- 3 by this article or by law of this state other than this article.
- 4 (b) A notarial officer may not perform a notarial act with
- 5 respect to a record to which the officer or the officer's spouse is
- 6 a party, or in which either of them has a direct beneficial
- 7 interest, financial or otherwise. A notarial act performed in
- 8 violation of this subsection is voidable.

## 9 §39-4-5. Requirements for certain notarial acts.

- 10 (a) A notarial officer who takes an acknowledgment of a record
- 11 shall determine, from personal knowledge or satisfactory evidence
- 12 of the identity of the individual, that the individual appearing
- 13 before the officer and making the acknowledgment has the identity
- 14 claimed and that the signature on the record is the signature of
- 15 the individual.
- 16 (b) A notarial officer who takes a verification of a statement
- 17 on oath or affirmation shall determine, from personal knowledge or
- 18 satisfactory evidence of the identity of the individual, that the
- 19 individual appearing before the officer and making the verification
- 20 has the identity claimed and that the signature on the statement
- 21 verified is the signature of the individual.
- 22 (c) A notarial officer who witnesses or attests to a signature
- 23 shall determine, from personal knowledge or satisfactory evidence
- 24 of the identity of the individual, that the individual appearing
- 25 before the officer and signing the record has the identity claimed.

- 1 (d) A notarial officer who certifies or attests a copy of a
- 2 record or an item that was copied shall determine that the copy is
- 3 a full, true, and accurate transcription or reproduction of the
- 4 record or item.
- 5 (e) A notarial officer who makes or notes a protest of a
- 6 negotiable instrument shall determine the matters set forth in
- 7 subsection (b), section five hundred five, article three, chapter
- 8 forty-six of this Code.
- 9 §39-4-6. Personal appearance required.
- 10 If a notarial act relates to a statement made in or a
- 11 signature executed on a record, the individual making the statement
- 12 or executing the signature shall appear personally before the
- 13 notarial officer. An individual making the statement or executing
- 14 the signature does not appear personally if the appearance is by
- 15 video or audio technology, even if the video is synchronous.
- 16 §39-4-7. Identification of individual.
- 17 (a) A notarial officer has personal knowledge of the identity
- 18 of an individual appearing before the officer if the individual is
- 19 personally known to the officer through dealings sufficient to
- 20 provide reasonable certainty that the individual has the identity
- 21 claimed.
- 22 (b) A notarial officer has satisfactory evidence of the
- 23 identity of an individual appearing before the officer if the
- 24 officer can identify the individual:
- 25 <u>(1) By means of:</u>

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- 1 (A) A passport, driver's license, or government issued
- 2 nondriver identification card, which is current or expired not more
- 3 than three years before performance of the notarial act; or
- 4 (B) Another form of government identification issued to an
- 5 individual, which is current or expired not more than three years
- 6 before performance of the notarial act, contains the signature or
- 7 a photograph of the individual, and is satisfactory to the officer;
- 8 <u>or</u>
- 9 (2) By a verification on oath or affirmation of a credible
- 10 witness personally appearing before the officer and known to the
- 11 officer or whom the officer can identify on the basis of a
- 12 passport, driver's license, or government issued nondriver
- 13 identification card, which is current or expired not more than
- 14 three years before performance of the notarial act.
- 15 (c) A notarial officer may require an individual to provide
- 16 additional information or identification credentials necessary to
- 17 assure the officer of the identity of the individual.
- 18 §39-4-8. Authority to refuse to perform notarial act.
- 19 (a) A notarial officer may refuse to perform a notarial act if
- 20 the officer is not satisfied that:
- 21 (1) The individual executing the record is competent or has
- 22 the capacity to execute the record; or
- 23 (2) The individual's signature is knowingly and voluntarily
- 24 made.
- 25 (b) A notarial officer may refuse to perform a notarial act

- 1 unless refusal is prohibited by law other than this article.
- 2 §39-4-9. Signature if individual is unable to sign.
- 3 If an individual is physically unable to sign a record, the
- 4 individual may direct an individual other than the notarial officer
- 5 to sign the individual's name on the record. The notarial officer
- 6 shall insert "Signature affixed by (name of other individual) at
- 7 the direction of (name of individual)" or words of similar import.
- 8 §39-4-10. Notarial act in this state.
- 9 (a) A notarial act may be performed in this state by:
- 10 (1) A notary public of this state;
- 11 (2) A judge, clerk, or deputy clerk of a court of this state;
- 12 or
- 13 (3) Any other individual authorized to perform the specific
- 14 act by the law of this state.
- 15 (b) The signature and title of an individual performing a
- 16 notarial act in this state are prima facie evidence that the
- 17 signature is genuine and that the individual holds the designated
- 18 title.
- 19 (c) The signature and title of a notarial officer described in
- 20 subdivisions (1) or (2) of subsection (a), conclusively establish
- 21 the authority of the officer to perform the notarial act.
- 22 §39-4-11. Notarial act in another state.
- 23 (a) A notarial act performed in another state has the same
- 24 effect under the law of this state as if performed by a notarial
- 25 officer of this state, if the act performed in that state is

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- 2 (1) A notary public of that state;
- 3 (2) A judge, clerk, or deputy clerk of a court of that state;
- 4 or
- 5 (3) Any other individual authorized by the law of that state
- 6 to perform the notarial act.
- 7 (b) The signature and title of an individual performing a
- 8 notarial act in another state are prima facie evidence that the
- 9 signature is genuine and that the individual holds the designated
- 10 title.
- 11 (c) The signature and title of a notarial officer described in
- 12 subdivisions (1) or (2) of subsection (a), conclusively establish
- 13 the authority of the officer to perform the notarial act.
- 14 §39-4-12. Notarial act under authority of federally recognized
- 15 Indian tribe.
- 16 (a) A notarial act performed under the authority and in the
- 17 jurisdiction of a federally recognized Indian tribe has the same
- 18 effect as if performed by a notarial officer of this state, if the
- 19 act performed in the jurisdiction of the tribe is performed by:
- 20 <u>(1) A notary public of the tribe;</u>
- 21 (2) A judge, clerk, or deputy clerk of a court of the tribe;
- 22 or
- 23 (3) Any other individual authorized by the law of the tribe to
- 24 perform the notarial act.
- 25 (b) The signature and title of an individual performing a

- 1 notarial act under the authority of and in the jurisdiction of a
- 2 federally recognized Indian tribe are prima facie evidence that the
- 3 signature is genuine and that the individual holds the designated
- 4 title.
- 5 (c) The signature and title of a notarial officer described in
- 6 subdivisions (1) or (2) of subsection (a), conclusively establish
- 7 the authority of the officer to perform the notarial act.
- 8 §39-4-13. Notarial act under federal authority.
- 9 (a) A notarial act performed under federal law has the same
- 10 effect under the law of this state as if performed by a notarial
- 11 officer of this state, if the act performed under federal law is
- 12 performed by:
- 13 (1) A judge, clerk, or deputy clerk of a court;
- 14 (2) An individual in military service or performing duties
- 15 under the authority of military service who is authorized to
- 16 perform notarial acts under federal law;
- 17 (3) An individual designated a notarizing officer by the
- 18 United States Department of State for performing notarial acts
- 19 overseas; or
- 20 (4) Any other individual authorized by federal law to perform
- 21 the notarial act.
- 22 (b) The signature and title of an individual acting under
- 23 federal authority and performing a notarial act are prima facie
- 24 evidence that the signature is genuine and that the individual
- 25 holds the designated title.

(c) The signature and title of an officer described in 1 2 subdivisions (1), (2) or (3) of subsection (a), conclusively 3 establish the authority of the officer to perform the notarial act. 4 §39-4-14. Foreign notarial act. 5 (a) In this section, "foreign state" means a government other 6 than the United States, a state, or a federally recognized Indian 7 tribe. 8 (b) If a notarial act is performed under authority and in the jurisdiction of a foreign state or constituent unit of the foreign 10 state or is performed under the authority of a multinational or 11 international governmental organization, the act has the same 12 effect under the law of this state as if performed by a notarial 13 officer of this state. 14 (c) If the title of office and indication of authority to 15 perform notarial acts in a foreign state appears in a digest of 16 foreign law or in a list customarily used as a source for that 17 information, the authority of an officer with that title to perform 18 notarial acts is conclusively established. 19 (d) The signature and official stamp of an individual holding 20 an office described in subsection (c) are prima facie evidence that 21 the signature is genuine and the individual holds the designated 22 title. 23 (e) An apostille in the form prescribed by the Hague 24 Convention of October 5, 1961, and issued by a foreign state party

25 to the Convention conclusively establishes that the signature of

- 1 the notarial officer is genuine and that the officer holds the
- 2 indicated office.
- 3 (f) A consular authentication issued by an individual
- 4 designated by the United States Department of State as a notarizing
- 5 officer for performing notarial acts overseas and attached to the
- 6 record with respect to which the notarial act is performed
- 7 conclusively establishes that the signature of the notarial officer
- 8 is genuine and that the officer holds the indicated office.
- 9 §39C-4-15. Certificate of notarial act.
- 10 (a) A notarial act must be evidenced by a certificate. The
- 11 certificate must:
- 12 (1) Be executed contemporaneously with the performance of the
- 13 notarial act;
- 14 (2) Be signed and dated by the notarial officer and, if the
- 15 notarial officer is a notary public, be signed in the same manner
- 16 as on file with the Secretary of State;
- 17 (3) Identify the jurisdiction in which the notarial act is
- 18 performed;
- 19 (4) Contain the title of office of the notarial officer; and
- 20 (5) If the notarial officer is a notary public, indicate the
- 21 date of expiration, if any, of the officer's commission.
- 22 (b) If a notarial act regarding a tangible record is performed
- 23 by a notary public, an official stamp must be affixed to the
- 24 certificate. If a notarial act is performed regarding a tangible
- 25 record by a notarial officer other than a notary public and the

- 1 certificate contains the information specified in subdivisions (2),
- 2 (3), and (4) of subsection (a), an official stamp may be affixed to
- 3 the certificate. If a notarial act regarding an electronic record
- 4 is performed by a notarial officer and the certificate contains the
- 5 information specified in subdivisions (2), (3), and (4) of
- 6 subsection (a), an official stamp may be attached to or logically
- 7 associated with the certificate.
- 8 (c) A certificate of a notarial act is sufficient if it meets
- 9 the requirements of subsections (a) and (b) and:
- 10 (1) Is in a short form set forth in section sixteen of this
- 11 article;
- 12 (2) Is in a form otherwise permitted by the law of this state;
- 13 (3) Is in a form permitted by the law applicable in the
- 14 jurisdiction in which the notarial act was performed; or
- 15 (4) Sets forth the actions of the notarial officer and the
- 16 actions are sufficient to meet the requirements of the notarial act
- 17 as provided in sections five, six, and seven of this article or law
- 18 of this state other than this article.
- 19 (d) By executing a certificate of a notarial act, a notarial
- 20 officer certifies that the officer has complied with the
- 21 requirements and made the determinations specified in sections
- 22 four, five, and six of this article.
- 23 (e) A notarial officer may not affix the officer's signature
- 24 to, or logically associate it with, a certificate until the
- 25 <u>notarial act has been performed.</u>

(f) If a notarial act is performed regarding a tangible 1 2 record, a certificate must be part of, or securely attached to, the 3 record. If a notarial act is performed regarding an electronic 4 record, the certificate must be affixed to, or logically associated 5 with, the electronic record. If the Secretary of State has 6 established standards pursuant to section twenty-seven of this 7 article, for attaching, affixing, or logically associating the 8 certificate, the process must conform to the standards. 9 §39-4-16. Short form certificates. The following short form certificates of notarial acts are 10 11 sufficient for the purposes indicated, if completed with the 12 information required by subsections (a) and (b) of section fifteen 13 of this article: (1) For an acknowledgment in an individual capacity: 14 15 State of 16 County of 17 This record was acknowledged before me on by Date Name(s) 18 19 of individual(s) \_\_\_\_\_ 21 Signature of notarial officer 22 Stamp 23 24 Title of office 25 My commission expires:

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1	(2) For an acknowledgment in a representative capacity:	
2	State of	
3	County of	
4	This record was acknowledged before me on	by
5		
6	Date Name(s) of individual(s)	
7	as (type of authority, such as officer or trustee) of (name	of
8	party on behalf of whom record was executed).	
9		
10	Signature of notarial officer	
11	<u>Stamp</u>	
12		
13	Title of office	
14	My commission expires:	
15	(3) For a verification on oath or affirmation:	
16	State of	
17	County of	
18	Signed and sworn to (or affirmed) before me on	by
19		
20	Date Name(s) of individual(s) maki	_ng
21	statement	
22		
23	Signature of notarial officer	
24	<u>Stamp</u>	
25		

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1	Title of office
2	My commission expires:
3	(4) For witnessing or attesting a signature:
4	State of
5	County of
6	Signed or attested before me onby
7	Date
8	<pre>Name(s) of individual(s)</pre>
9	
10	Signature of notarial officer
11	<u>Stamp</u>
12	
13	Title of office
14	My commission expires:
15	(5) For certifying a copy of a record:
16	State of
17	County of
18	I certify that this is a true and correct copy of a record in the
19	possession of
20	<pre>Dated</pre>
21	
22	Signature of notarial officer
23	<u>Stamp</u>
24	
25	Title of office

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- 1 My commission expires:
- 2 §39-4-17. Official stamp.
- 3 The official stamp of a notary public must:
- 4 (1) Include the notary public's name, address, jurisdiction,
- 5 commission expiration date, and other information required by the
- 6 Secretary of State; and
- 7 (2) Be capable of being copied together with the record to
- 8 which it is affixed or attached or with which it is logically
- 9 associated.
- 10 **§39-4-18**. Stamping device.
- 11 (a) A notary public is responsible for the security of the
- 12 notary public's stamping device and may not allow another
- 13 individual to use the device to perform a notarial act. On
- 14 resignation from, or the revocation or expiration of, the notary
- 15 public's commission, or on the expiration of the date set forth in
- 16 the stamping device, the notary public shall disable the stamping
- 17 device by destroying, defacing, damaging, erasing, or securing it
- 18 against use in a manner that renders it unusable. On the death or
- 19 adjudication of incompetency of a notary public, the notary
- 20 public's personal representative or guardian or any other person
- 21 knowingly in possession of the stamping device shall render it
- 22 unusable by destroying, defacing, damaging, erasing, or securing it
- 23 against use in a manner that renders it unusable.
- 24 (b) If a notary public's stamping device is lost or stolen,
- 25 the notary public or the notary public's personal representative or

- 1 guardian shall notify promptly the Secretary of State on
- 2 discovering that the device is lost or stolen.
- 3 **§39-4-19.** Journal.
- 4 (a) A notary public shall maintain a journal in which the
- 5 notary public chronicles all notarial acts that the notary public
- 6 performs. The notary public shall retain the journal for ten years
- 7 after the performance of the last notarial act chronicled in the
- 8 journal.
- 9 (b) A journal may be created on a tangible medium or in an
- 10 electronic format. A notary public shall maintain only one journal
- 11 at a time to chronicle all notarial acts, whether those notarial
- 12 acts are performed regarding tangible or electronic records. If
- 13 the journal is maintained on a tangible medium, it must be a
- 14 permanent, bound register with numbered pages. If the journal is
- 15 maintained in an electronic format, it must be in a permanent,
- 16 tamper-evident electronic format complying with the rules
- 17 promulgated by the Secretary of State.
- 18 (c) An entry in a journal must be made contemporaneously with
- 19 performance of the notarial act and contain the following
- 20 information:
- 21 (1) The date and time of the notarial act;
- 22 (2) A description of the record, if any, and type of notarial
- 23 act;
- 24 (3) The full name and address of each individual for whom the
- 25 notarial act is performed;

- 1 <u>(4) If identity of the individual is based on personal</u> 2 knowledge, a statement to that effect;
- 3 (5) If identity of the individual is based on satisfactory
- 4 evidence, a brief description of the method of identification and
- 5 the identification credential presented, if any, including the date
- 6 of issuance and expiration of any identification credential; and
- 7 (6) The fee, if any, charged by the notary public.
- 8 (d) If a notary public's journal is lost or stolen, the notary
- 9 public promptly shall notify the Secretary of State on discovering
- 10 that the journal is lost or stolen.
- 11 (e) On resignation from, or the revocation or suspension of,
- 12 a notary public's commission, the notary public shall retain the
- 13 notary public's journal in accordance with subsection (a) and
- 14 inform the Secretary of State where the journal is located.
- 15 (f) Instead of retaining a journal as provided in subsections
- 16 (a) and (e), a current or former notary public may transmit the
- 17 journal to the Secretary of State or a repository approved by the
- 18 Secretary of State.
- 19 (g) On the death or adjudication of incompetency of a current
- 20 or former notary public, the notary public's personal
- 21 representative or quardian or any other person knowingly in
- 22 possession of the journal shall transmit it to the Secretary of
- 23 State or a repository approved by the Secretary of State.
- 24 §39-4-20. Notification regarding performance of notarial act on
- 25 electronic record, selection of technology.

1 (a) A notary public may select one or more tamper-evident 2 technologies to perform notarial acts with respect to electronic 3 records. A person may not require a notary public to perform a 4 notarial act with respect to an electronic record with a technology 5 that the notary public has not selected. 6 (b) Before a notary public performs the notary public's 7 initial notarial act with respect to an electronic record, a notary 8 public shall notify the Secretary of State that the notary public 9 will be performing notarial acts with respect to electronic records 10 and identify the technology the notary public intends to use. If 11 the Secretary of State has established standards for approval of 12 technology pursuant to section twenty-seven of this article, the 13 technology must conform to the standards. If the technology 14 conforms to the standards, the Secretary of State shall approve the 15 use of the technology. 16 §39-4-21. Commission as notary public; qualifications; no immunity 17 or benefit; disposition of fees. 18 (a) An individual qualified under subsection (b) may apply to

- 19 the Secretary of State for a commission as a notary public. The
  20 applicant shall comply with and provide the information required by
  21 rules promulgated by the Secretary of State and pay any application
  22 fee.
- 23 (b) An applicant for a commission as a notary public must:
- 24 <u>(1) Be at least 18 years of age;</u>
- 25 (2) Be a citizen or permanent legal resident of the United

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1 States;

- 2 (3) Be a resident of or have a place of employment or practice
- 3 in this state;
- 4 (4) Be able to read and write English;
- 5 (5) Not be disqualified to receive a commission under section
- 6 twenty-three of this article; and
- 7 (6) have passed the examination required under section twenty-
- 8 two of this article.
- 9 (c) Before issuance of a commission as a notary public, an
- 10 applicant for the commission shall execute an oath of office and
- 11 submit it to the Secretary of State.
- 12 (d) Before issuance of a commission as a notary public, the
- 13 applicant for a commission shall submit to the Secretary of State
- 14 an assurance in the form of a surety bond or its functional
- 15 equivalent in the amount of \$10,000. The assurance must be issued
- 16 by a surety or other entity licensed or authorized to do business
- 17 in this state. The assurance must cover acts performed during the
- 18 term of the notary public's commission and must be in the form
- 19 prescribed by the Secretary of State. If a notary public violates
- 20 law with respect to notaries public in this state, the surety or
- 21 issuing entity is liable under the assurance. The surety or
- 22 issuing entity shall give thirty days notice to the Secretary of
- 23 State before canceling the assurance. The surety or issuing entity
- 24 shall notify the Secretary of State not later than thirty days
- 25 after making a payment to a claimant under the assurance. A notary

- 1 public may perform notarial acts in this state only during the
- 2 period that a valid assurance is on file with the Secretary of
- 3 State.
- 4 (e) On compliance with this section, the Secretary of State
- 5 shall issue a commission as a notary public to an applicant for a
- 6 term of four years.
- 7 (f) A commission to act as a notary public authorizes the
- 8 notary public to perform notarial acts. The commission does not
- 9 provide the notary public any immunity or benefit conferred by law
- 10 of this state on public officials or employees.
- 11 §39-4-22. Examination of notary public.
- 12 <u>(a)</u> An applicant for a commission as a notary public must pass
- 13 an examination administered by the Secretary of State or an entity
- 14 approved by the Secretary of State. The examination must be based
- 15 on the course of study described in subsection (b).
- 16 (b) The Secretary of State or an entity approved by the
- 17 Secretary of State shall offer regularly a course of study to
- 18 applicants for commissions as notaries public or government
- 19 notaries public in this state. The course must cover the laws,
- 20 rules, procedures, and ethics relevant to notarial acts.
- 21 §39-4-23. Grounds to deny, refuse to renew, revoke, suspend, or
- condition commission of notary public.
- 23 (a) The Secretary of State may deny, refuse to renew, revoke,
- 24 suspend, or impose a condition on a commission as notary public for
- 25 any act or omission that demonstrates the individual lacks the

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- 1 honesty, integrity, competence, or reliability to act as a notary
- 2 public, including:
- 3 (1) Failure to comply with this article;
- 4 (2) A fraudulent, dishonest, or deceitful misstatement or
- 5 omission in the application for a commission as a notary public
- 6 <u>submitted to the Secretary of State;</u>
- 7 (3) A conviction of the applicant or notary public of any
- 8 <u>felony or a crime involving fraud, dishonesty, or deceit;</u>
- 9 (4) A finding against, or admission of liability by, the
- 10 applicant or notary public in any legal proceeding or disciplinary
- 11 action based on the applicant's or notary public's fraud,
- 12 dishonesty, or deceit;
- 13 (5) Failure by the notary public to discharge any duty
- 14 required of a notary public, whether by this article, rules
- 15 promulgated by the Secretary of State, or any federal or state law;
- 16 (6) Use of false or misleading advertising or representation
- 17 by the notary public representing that the notary has a duty,
- 18 right, or privilege that the notary does not have;
- 19 (7) Violation by the notary public of a rule of the Secretary
- 20 of State regarding a notary public;
- 21 (8) Denial, refusal to renew, revocation, suspension, or
- 22 conditioning of a notary public commission in another state;
- 23 (9) Failure of the notary public to maintain an assurance as
- 24 provided in subsection (d), section twenty-one of this article;
- 25 (10) Charging more than the maximum fees specified in section

- 1 thirty-two of this article;
- 2 (11) Failure to notify the Secretary of State of an address or
- 3 name change pursuant to subsection (b), section twenty-four of this
- 4 <u>article</u>.
- 5 (b) If the Secretary of State denies, refuses to renew,
- 6 revokes, suspends, or imposes conditions on a commission as a
- 7 notary public, the applicant or notary public is entitled to timely
- 8 notice and hearing in accordance with article five, chapter twenty-
- 9 nine-a of this code.
- 10 (c) The authority of the Secretary of State to deny, refuse to
- 11 renew, suspend, revoke, or impose conditions on a commission as a
- 12 notary public does not prevent a person from seeking and obtaining
- 13 other criminal or civil remedies provided by law.
- 14 §39-4-24. Database of notaries public.
- 15 (a) The Secretary of State shall maintain an electronic
- 16 database of notaries public:
- 17 <u>(1) Through which a person may verify the authority of a</u>
- 18 notary public to perform notarial acts; and
- 19 (2) Which indicates whether a notary public has notified the
- 20 Secretary of State that the notary public will be performing
- 21 notarial acts on electronic records.
- 22 (b) Not later than thirty days after a notary public either:
- 23 (1) changes the address of his or her business or residence;
- 24 or
- 25 (2) changes his or her name,

- 1 the notary public shall notify the Secretary of State of the
- 2 address or name change.
- 3 §39-4-25. Prohibited acts.
- 4 (a) A commission as a notary public does not authorize an
- 5 individual to:
- 6 (1) Assist persons in drafting legal records, give legal
- 7 advice, or otherwise practice law;
- 8 (2) Act as an immigration consultant or an expert on
- 9 immigration matters;
- 10 (3) Represent a person in a judicial or administrative
- 11 proceeding relating to immigration to the United States, United
- 12 States citizenship, or related matters; or
- 13 (4) Receive compensation for performing any of the activities
- 14 listed in this subsection.
- 15 (b) A notary public may not engage in false or deceptive
- 16 advertising.
- 17 (c) A notary public, other than an attorney licensed to
- 18 practice law in this state, may not use the term "notario" or
- 19 "notario publico".
- 20 (d) A notary public, other than an attorney licensed to
- 21 practice law in this state, may not advertise or represent that the
- 22 notary public may assist persons in drafting legal records, give
- 23 legal advice, or otherwise practice law. If a notary public who is
- 24 not an attorney licensed to practice law in this state in any
- 25 manner advertises or represents that the notary public offers

1 notarial services, whether orally or in a record, including 2 broadcast media, print media, and the Internet, the notary public 3 shall include the following statement, or an alternate statement 4 authorized or required by the Secretary of State, in the 5 advertisement or representation, prominently and in each language 6 used in the advertisement or representation: "I am not an attorney 7 licensed to practice law in this state. I am not allowed to draft 8 legal records, give advice on legal matters, including immigration, 9 or charge a fee for those activities". If the form of 10 advertisement or representation is not broadcast media, print 11 media, or the Internet and does not permit inclusion of the 12 statement required by this subsection because of size, it must be 13 displayed prominently or provided at the place of performance of 14 the notarial act before the notarial act is performed. 15 (e) Except as otherwise allowed by law, a notary public may 16 not withhold access to or possession of an original record provided 17 by a person that seeks performance of a notarial act by the notary 18 public. 19 §39-4-26. Validity of notarial acts. 20 Except as otherwise provided in subsection (b), section four 21 of this article, the failure of a notarial officer to perform a 22 duty or meet a requirement specified in this article does not 23 invalidate a notarial act performed by the notarial officer. The 24 validity of a notarial act under this article does not prevent an

25 aggrieved person from seeking to invalidate the record or

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- 1 transaction that is the subject of the notarial act or from seeking
- 2 other remedies based on law of this state other than this article
- 3 or law of the United States. This section does not validate a
- 4 purported notarial act performed by an individual who does not have
- 5 the authority to perform notarial acts.
- 6 **§39-4-27**. **Rules**.
- 7 (a) The Secretary of State may promulgate rules, in accordance
- 8 with the provisions of chapter twenty-nine-a of this code, to
- 9 implement this article. Rules promulgated regarding the performance
- 10 of notarial acts with respect to electronic records may not
- 11 require, or accord greater legal status or effect to, the
- 12 implementation or application of a specific technology or technical
- 13 specification. The rules may:
- 14 (1) Prescribe the manner of performing notarial acts regarding
- 15 tangible and electronic records;
- 16 (2) Include provisions to ensure that any change to or
- 17 tampering with a record bearing a certificate of a notarial act is
- 18 self-evident;
- 19 (3) Include provisions to ensure integrity in the creation,
- 20 transmittal, storage, or authentication of electronic records or
- 21 signatures;
- 22 (4) Prescribe the process of granting, renewing, conditioning,
- 23 denying, suspending, or revoking a notary public commission and
- 24 <u>assuring the trustworthiness of an individual holding a commission</u>
- 25 as notary public;

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- (5) Include provisions to prevent fraud or mistake in the 1 2 performance of notarial acts; 3 (6) Establish the process for approving and accepting surety bonds and other forms of assurance under subsection (d), section 5 twenty-one of this article; 6 (7) Provide for the administration of the examination under 7 subsection (a), section twenty-two of this article, and the course 8 of study under subsection (b), section twenty-two of this article; 9 and (8) Establish fees, with legislative approval in accordance 10 11 with the provisions of article three, chapter twenty-nine-a of this 12 code. Fees collected by the Secretary of State pursuant to 13 subdivision shall be deposited by the Secretary of State as 14 follows: One-half shall be deposited in the state general revenue 15 fund and one-half shall be deposited in the service fees and 16 collections account established by section two, article one, 17 chapter fifty-nine of this code for the operation of the office of 18 the Secretary of State. The Secretary of State shall dedicate 19 sufficient resources from that fund or other funds to provide the 20 services required by the provisions of article four, chapter 21 thirty-nine of this code. 22 (b) In promulgating, amending, or repealing rules about 23 notarial acts with respect to electronic records, the Secretary of
- 25 (1) The most recent standards regarding electronic records

24 State shall consider, so far as is consistent with this article:

- 1 promulgated by national bodies, such as the National Association of
- 2 Secretaries of State;
- 3 (2) Standards, practices, and customs of other jurisdictions
- 4 that substantially enact this article; and
- 5 (3) The views of governmental officials and entities and other
- 6 <u>interested persons</u>.
- 7 §39-4-28. Notary public commission and commissioner appointment
- 8 <u>in effect.</u>
- 9 (a) A commission as a notary public in effect on June 30,
- 10 2015, continues until its date of expiration. A notary public who
- 11 applies for a commission as a notary public on or after July 1,
- 12 2015, is subject to and shall comply with this article. A notary
- 13 public, in performing notarial acts on or after July 1, 2015, shall
- 14 comply with this article.
- 15 (b) An appointment as commissioner under the repealed
- 16 provisions of article four, chapter twenty-nine, of this code, in
- 17 effect on June 30, 2015, continues until its date of expiration. A
- 18 commissioner, in performing notarial acts on or after July 1, 2015,
- 19 shall comply with this article: Provided, That a person holding a
- 20 commission pursuant to the provisions of article four, chapter
- 21 twenty-nine, of this code, on June 30, 2015, is not required to
- 22 obtain or use a stamp required by section seventeen of this
- 23 article, prior to the expiration of that commission.
- 24 **§39-4-29**. Savings clause.
- 25 This article does not affect the validity or effect of a

- 1 notarial act performed before July 1, 2015.
- 2 §39-4-30. Uniformity of application and construction.
- 3 In applying and construing this uniform act, consideration
- 4 must be given to the need to promote uniformity of the law with
- 5 respect to its subject matter among states that enact it.
- 6 §39-4-31. Relation to Electronic Signatures in Global and National
- 7 <u>Commerce Act.</u>
- 8 This article modifies, limits, and supersedes the Electronic
- 9 Signatures in Global and National Commerce Act, 15 U.S.C. Section
- 10 7001 et seq., but does not modify, limit, or supersede Section
- 11 101(c) of that act, 15 U.S.C. Section 7001(c), or authorize
- 12 electronic delivery of any of the notices described in Section
- 13 103(b) of that act, 15 U.S.C. Section 7003(b).
- 14 **§39-4-32**. Maximum fees.
- 15 (a) The maximum fee in this state for notarization of each
- 16 signature and the proper recordation thereof in the journal of
- 17 notarial acts is five dollars for each signature notarized.
- 18 (b) The maximum fee in this state for certification of a
- 19 facsimile of a document, retaining a facsimile in the notary's
- 20 file, and the proper recordation thereof in the journal of notarial
- 21 acts is five dollars for each eight and one-half by eleven inch
- 22 page retained in the notary's file.
- 23 (c) The maximum fee in this state is five dollars for any
- 24 other notarial act performed.
- 25 §39-4-33. Government notaries public.

- 1 (a) State and local government employees may be commissioned
- 2 as government notaries public to act for and in behalf of their
- 3 respective state and local government offices.
- 4 (b) A state or local government employee commissioned under
- 5 this section shall meet the requirements for qualification and
- 6 appointment prescribed in this article except that the head of the
- 7 state or local government office where the applicant is employed,
- 8 or his or her designee, shall execute a certificate that the
- 9 application is made for the purposes of the office and in the
- 10 public interest and submit it to the Secretary of State together
- 11 with the application for appointment as a notary public.
- 12 Applicants for commission as a notary public under this section are
- 13 exempt from fulfilling the surety requirements of subsection (d),
- 14 section twenty-one of this article.
- 15 (c) The costs of application and all notary supplies for a
- 16 commissioned state or local government employee shall be paid from
- 17 funds available to the office in which he or she is employed.
- 18 (d) All fees received for notarial services by a government
- 19 notary public appointed for and in behalf of a state or local
- 20 government office shall be remitted by him or her to the state or
- 21 <u>local government office in which he or she is employed.</u>
- 22 (e) A government notary public must comply with all provisions
- 23 of this article in the performance of notarial acts.
- 24 (f) A government notary public may acknowledge any document
- 25 required to be acknowledged by a notary public; Provided, That a

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- 1 government notary public may not operate privately.
- 2 §39-4-34. Liability of notary and of an employer of notary.
- 3 (a) A notary public is liable to the persons involved for all
- 4 damages proximately caused by the notary's official misconduct.
- 5 (b) The employer of a notary public is also liable to the
- 6 persons involved for all damages proximately caused by the notary's
- 7 official misconduct, if:
- 8 (1) The notary public was acting within the scope of his or
- 9 her employment at the time he or she engaged in the official
- 10 misconduct; and
- 11 (2) The employer consented to the notary public's official
- 12 misconduct.
- 13 (c) It is not essential to a recovery of damages that a
- 14 notary's official misconduct be the only proximate cause of the
- 15 damages.
- 16 (d) For the purposes of this section, the term "official
- 17 misconduct" means any act or conduct that:
- 18 (1) May result in the denial, refusal to renew, revocation,
- 19 suspension or condition commission of a notary public pursuant to
- 20 section twenty-three of this article; or
- 21 (2) Is prohibited by section twenty-five of this article.
- 22 §39-4-35. Criminal penalties.
- 23 (a) A notary public who knowingly and willfully commits any
- 24 official misconduct is guilty of a misdemeanor, and, upon
- 25 conviction, shall be fined not more than \$5,000 or confined in jail

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- 1 not more than one year, or both fined and confined.
- 2 (b) A notary public who recklessly or negligently commits any
- 3 official misconduct is guilty of a misdemeanor, and, upon
- 4 conviction, shall be fined not more than \$1,000.
- 5 (c) Any person who acts as, or otherwise willfully
- 6 impersonates, a notary public while not lawfully appointed and
- 7 commissioned to perform notarial acts is guilty of a misdemeanor,
- 8 and, upon conviction, shall be fined not more than \$5,000 or
- 9 confined in jail not more than one year, or both fined and
- 10 confined.
- 11 (d) Any person who unlawfully possesses a notary's official
- 12 seal or any papers or copies relating to notarial acts, is guilty
- 13 of a misdemeanor, and, upon conviction, shall be fined not more
- 14 than \$1,000.
- 15 (e) For the purposes of this section, the term "official
- 16 misconduct" means any act or conduct that:
- 17 (1) May result in the denial, refusal to renew, revocation,
- 18 suspension or condition commission of a notary public pursuant to
- 19 section twenty-three of this article; or
- 20 (2) Is prohibited by section twenty-five of this article.
- 21 §39-4-36. Action for injunction; unauthorized practice of law.
- 22 Upon his or her own information or upon complaint of any
- 23 person, the Attorney General, or his or her designee, may maintain
- 24 an action for injunctive relief in circuit court against any notary
- 25 public who renders, offers to render or holds himself or herself

- 1 out as rendering any service constituting the unauthorized practice
- 2 of the law. Any organized bar association in this state may
- 3 intervene in the action, at any stage of the proceeding, for good
- 4 cause shown. The action may also be maintained by an organized bar
- 5 association in this state or by the Secretary of State.
- 6 §39-4-37. Administrative Complaints and Investigations.
- 7 (a) In addition to the powers and duties contained in this
- 8 article, the Secretary of State may:
- 9 (1) Investigate, upon complaint or on his or her own
- 10 initiative, any alleged violations or irregularities of this
- 11 article.
- 12 (2) Administer oaths and affirmations, issue subpoenas for the
- 13 attendance of witnesses, issue subpoenas duces tecum to compel the
- 14 production of books, papers, records and all other evidence
- 15 necessary to any investigation.
- 16 (3) Involve the aid of any circuit court in the execution of
- 17 its subpoena power.
- 18 (4) Report any alleged violations of this article to the
- 19 appropriate prosecuting attorney having jurisdiction, which
- 20 prosecuting attorney shall present to the grand jury such alleged
- 21 violations, together with all evidence relating thereto, no later
- 22 than the next term of court after receiving the report.
- 23 (b) The Attorney General shall, when requested, provide legal
- 24 and investigative assistance to the Secretary of State.
- 25 §39-4-38. Secretary of State record retention.

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- 1 (a) The provisions of subsection (c), section three, article
- 2 two, chapter five of this code notwithstanding, the Secretary of
- 3 State may destroy original records of appointment under this
- 4 article after expiration of the term of a notary public: Provided,
- 5 that the Secretary of State maintains an electronic copy of the
- 6 appointment for a minimum of ten years after the expiration of the
- 7 term of the notary public.
- 8 (b) The Secretary of State may destroy any original journals
- 9 of notarial acts in his or her possession; Provided, That an
- 10 electronic copy is maintained in accordance with the retention
- 11 rules of the Department of Administration.
- 12 CHAPTER 57. EVIDENCE AND WITNESSES.
- 13 ARTICLE 4. DEPOSITIONS AND PERPETUATION OF TESTIMONY.
- 14 §57-4-2. Taking and certification of depositions -- Out of state
- and in foreign countries.
- On affidavit that a witness resides out of this state, or is
- 17 out of it in the service thereof, or of the United States, or is
- 18 out of this state and for justifiable reasons will probably be out
- 19 of this state until after the trial of the case in which his or her
- 20 testimony is needed, his or her deposition may be taken by or
- 21 before any commissioner appointed by the Governor of this state, or
- 22 any justice, notary public or other officer authorized to take
- 23 depositions in the state wherein the witness may be, or, if the
- 24 deposition is to be taken in a foreign country, by or before such
- 25 commissioner or commissioners as may be agreed upon by the parties

1 or appointed by the court, or, if there be none such, by or before 2 any American minister, plenipotentiary, charge d'affaires, consul 3 general, consul, vice consul, consular agent, vice deputy consular 4 agent, commercial agent or vice commercial agent, appointed by the 5 government of the United States, or by or before the mayor or other 6 chief magistrate of any city, town or corporation in such country 7 or any notary public therein. Any person or persons taking such 8 deposition may administer an oath to the witness and take and 9 certify the deposition with his or her official seal annexed, and 10 if he or she have none, the genuineness of his or her signature 11 shall be authenticated by some officer of the same state or 12 country, under his or her official seal.

- 13 ARTICLE 5. MISCELLANEOUS PROVISIONS.
- 14 §57-5-9. Administration of oaths or taking of affidavits;

  15 authentication of affidavit made in another state or

  16 country; oaths and affidavits of persons in military

  17 service.
- Any judge of this state may administer any oath that is or may 19 be lawful for any person to take, including oaths of office, and 20 also may swear any person to an affidavit, and administer an oath 21 to any person in any proceeding.
- Any oath or affidavit required by law, which is not of such a 23 nature that it must be made otherwise or elsewhere may, unless 24 otherwise provided, be administered by, or made before, a county 25 commissioner, notary public, or a commissioner appointed by the

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11 seal.

1 Governor, or by the clerk of any court, or, in case of a survey

2 directed by a court in a case therein pending, by or before the

3 surveyor directed to execute said order of survey.

An affidavit may also be made before any officer of another 5 state or country authorized by its laws to administer an oath, and 6 shall be deemed duly authenticated if it be subscribed by such 7 officer, with his <u>or her</u> official seal annexed, and if he <u>or she</u> 8 have none, the genuineness of his <u>or her</u> signature, and his <u>or her</u> 9 authority to administer an oath, shall be authenticated by some 10 officer of the same state or country under his <u>or her</u> official

12 Any oath or affidavit required of a person in the military 13 service of the United States (including the Women's Army Corps, 14 Women's Appointed Volunteers for Emergency Service, Army Nurse 15 Corps, Spars, Women's Reserve, or similar women's auxiliary unit 16 officially connected with such military service of the United 17 States), may be administered by or made before any commissioned 18 officer of any branch of the military service of the United States, 19 or any auxiliary unit officially connected with such military 20 service. Such oath may be taken or affidavit made at any place 21 either within or outside the United States of America, or any 22 territory, possession or dependency thereof. The jurat to such 23 oath and certificate to such affidavit need not state the place 24 where the same is taken and shall require no seal to be affixed 25 thereto. The certificate of the officer before whom such oath is

1 taken or affidavit is made must state his or her rank, branch of 2 military service, and identification number, and such certificate 3 may be substantially in form and effect as follows: 4 IN THE MILITARY SERVICE OF THE UNITED STATES: I, ..... being duly sworn on oath (affirmation), do 6 swear (affirm) that I am a member of the military service of the 7 United States (or of ....., an auxiliary to the military 8 forces of the United States); that \*\*\*, etc. 9 10 Taken, subscribed and sworn to before me, ....., a 11 commissioned officer in the ..... service of the United 12 States, by ....., a member of the military service of the 13 United States (or of ....., an auxiliary to the military 14 forces of the United States), this the ..... day of 15 ....., <del>19</del> 2..... 16 17 (Signature of officer) 18 19 (Rank) (Identification Number) 20 Any oath or affidavit heretofore taken or made by any person 21 in the military service in substantial compliance with this section 22 shall be valid. 23 CHAPTER 59. FEES, ALLOWANCES AND COSTS; NEWSPAPERS; LEGAL 24 ADVERTISEMENTS. 25 ARTICLE 1. FEES AND ALLOWANCES

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1 §59-1-2. Fees to be charged by Secretary of State.

3.1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
(a) Except as may be otherwise provided in this code, the
Secretary of State shall charge for services rendered in his or her
office the following fees to be paid by the person to whom the
service is rendered at the time it is done:
(1) For filing, recording, indexing, preserving a record of
and issuing a certificate relating to the formation, amendment,
change of name, registration of trade name, merger, consolidation,
conversion, renewal, dissolution, termination, cancellation,
withdrawal revocation and reinstatement of business entities
organized within the state, as follows:
(A) Articles of incorporation of for-profit
corporation\$50.00
(B) Articles of incorporation of nonprofit
corporation
(C) Articles of organization of limited liability
company
(D) Agreement of a general partnership 50.00
(E) Certificate of a limited partnership 100.00
(F) Agreement of a voluntary association 50.00
(G) Articles of organization of a business trust 50.00
(H) Amendment or correction of articles of incorporation,
including change of name or increase of capital stock, in addition
to any applicable license tax 25.00
(I) Amendment or correction, including change of name, of

1 articles of organization of business trust, limited liability 2 partnership, limited liability company or professional limited 3 liability company or of certificate of limited partnership or (J) Amendment and restatement of articles of incorporation, 5 6 certificate of limited partnership, agreement of voluntary 7 association or articles of organization of limited liability 8 partnership, limited liability company or professional limited 9 liability company or business trust....... 25.00 10 (K) Registration of trade name, otherwise designated as a true 11 name, fictitious name or D.B.A. (doing business as) name for any 12 domestic business entity as permitted by law. . . . . . . . 25.00 13 Articles of merger of two corporations, limited (L) 14 partnerships, limited liability partnerships, limited liability 15 companies or professional limited liability companies, voluntary 16 associations or business trusts........ 25.00 (M) Plus for each additional party to the merger in excess of 17 19 (N) Statement of conversion, when permitted, from one business 20 entity into another business entity, in addition to the cost of 21 filing the appropriate documents to organize the surviving entity 23 (O) Articles of dissolution of a corporation, voluntary 24 association or business trust, or statement of dissolution of a 

1 (P) Revocation of voluntary dissolution of a corporation, 2 voluntary association or business trust....... 15.00 3 (Q) Articles of termination of a limited liability company, 4 cancellation of a limited partnership or statement of withdrawal of 5 limited liability partnership......... Reinstatement of a limited liability company or (R) 7 professional limited liability company after administrative 9 (2) For filing, recording, indexing, preserving a record of 10 and issuing a certificate relating to the registration, amendment, 11 change of name, merger, consolidation, conversion, renewal, 12 withdrawal or termination within this state of business entities 13 organized in other states or countries, as follows: 14 (A) Certificate of authority of for-profit corporation 0.00 15 (B) Certificate of authority of nonprofit corporation 16 . . . (C) Certificate of authority of foreign limited liability 17 19 (D) Certificate of exemption from certificate of autho25t00. 20 (E) Registration of a general partnership. . . . . 50.00 (F) Registration of a limited partnership. . . . . 150.00 21 22 Registration of a limited liability partnership for (G) (H) Registration of a voluntary association. . . . 50.00 24 (I) Registration of a trust or business trust. . . . 50.00 25

1 (J) Amendment or correction of certificate of authority of a 2 foreign corporation, including change of name or increase of 3 capital stock, in addition to any applicable license tax. Amendment or correction of certificate of 5 partnership, limited liability partnership, limited liability 6 company or professional limited liability company, voluntary 7 association or business trust........ 25.00 8 (L) Registration of trade name, otherwise designated as a true 9 name, fictitious name or D.B.A. (doing business as) name for any 10 foreign business entity as permitted by law.... . . . . . . 25.00 11 (M) Amendment and restatement of certificate of authority or 12 of registration of a corporation, limited partnership, limited 13 liability partnership, limited liability company or professional 14 limited liability company, voluntary association or business trust 16 Articles of merger of two corporations, (N) 17 partnerships, limited liability partnerships, limited liability 18 companies or professional limited liability companies, voluntary 20 (O) Plus for each additional party to the merger in excess 22 (P) Statement of conversion, when permitted, from one business 23 entity into another business entity, in addition to the cost of 24 filing the appropriate articles or certificate to organize the 

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1 Certificate of withdrawal cancellation  $(\bigcirc)$ or of 2 corporation, limited partnership, limited liability partnership, 3 limited liability company, voluntary association or business trust 5 Notwithstanding any other provision of this section to the 6 contrary, after the thirtieth day of June, two thousand eight, the 7 fees described in this subdivision that are collected for the 8 issuance of a certificate relating to the initial registration of 9 a corporation, limited partnership, domestic limited liability 10 company or foreign limited liability company shall be deposited in 11 the general administrative fees account established by this 12 section. 13 (3) For receiving, filing and recording a change of the 14 principal or designated office, change of the agent of process 15 and/or change of officers, directors, partners, members case may be, of a corporation, 16 managers, as the 17 partnership, limited liability partnership, limited liability 18 company or other business entity as provided by law... . \$15.00 19 (4) For receiving, filing and preserving a reservation of a 20 name for each one hundred twenty days or for any other period in 21 excess of seven days prescribed by law for a corporation, limited 22 partnership, limited liability partnership or limited liability (5) For issuing a certificate relating to a corporation or 24 25 other business entity, as follows:

1 (A) Certificate of good standing of a domestic or foreign 2 corporation.....\$10.00 3 (B) Certificate of existence of a domestic limited liability 4 company, and certificate of authorization foreign limited liability (C) Certificate of existence of any business entity, trademark 7 or service mark registered with the Secretary of State .. 10.00 8 Certified copy of corporate charter or comparable (D) 9 organizing documents for other business entities. . . . . 15.00 10 (E) Plus, for each additional amendment, restatement or other 12 (F) Certificate of registration of the name of a foreign 13 corporation, limited liability company, limited partnership or 14 limited liability partnership......... 25.00 15 (G) And for the annual renewal of the name registration (H) Any other certificate not specified in this subdivision 17 19 (6) For issuing a certificate other than those relating to 20 business entities, as provided in this subsection, as follows: (A) Certificate or apostille relating to the authority of 21 22 certain public officers, including the membership of boards and 23 commissions.....\$10.00 (B) Plus, for each additional certificate pertaining to the 24 

(C) Any other certificate not specified in this 1 2 subdivision...... (D) For acceptance, indexing and recordation of service of 4 process any corporation, limited partnership, limited liability 5 partnership, limited liability company, voluntary association, 6 business trust, insurance company, person or other entity as 8 (E) For shipping and handling expenses for execution of 9 service of process by certified mail upon any defendant within the 10 United States, which fee is to be deposited to the special revenue 11 account established in this section for the operation of the office 12 of the Secretary of State.......... 5.00 13 (F) For shipping and handling expenses for execution of 14 service of process upon any defendant outside the United States by 15 registered mail, which fee is to be deposited to the special 16 revenue account established in this section for the operation of 17 the office of the Secretary of State....... 15.00 18 (7) For a search of records of the office conducted by 19 employees of or at the expense of the Secretary of State upon 20 request, as follows: (A) For any search of archival records maintained at sites 21 22 other than the office of the Secretary of State (B) For searches of archival records maintained at sites other 24 25 than the office of the Secretary of State which require more than

1	one hour, for each hour or fraction of an hour consumed in making
2	such search
3	(C) For any search of records maintained on site for the
4	purpose of obtaining copies of documents or printouts of data
5	
6	(D) For any search of records maintained in electronic format
7	which requires special programming to be performed by the state
8	information services agency or other vendor any actual cost, but
9	not less than
10	(E) The cost of the search is in addition to the cost of any
11	copies or printouts prepared or any certificate issued pursuant to
12	or based on the search.
13	(F) For recording any paper for which no specific fee is
14	prescribed
15	(8) For producing and providing photocopies or printouts of
16	electronic data of specific records upon request, as follows:
17	(A) For a copy of any paper or printout of electronic data, if
18	one sheet
19	(B) For each sheet after the first
20	(C) For sending the copies or lists by fax
21	transmission
22	(D) For producing and providing photocopies of lists, reports,
23	guidelines and other documents produced in multiple copies for
24	general public use, a publication price to be established by the
25	Secretary of State at a rate approximating 2.00 plus .10 per page

1 and rounded to the nearest dollar.

- 2 (E) For electronic copies of records obtained in data format
- 3 on disk, the cost of the record in the least expensive available
- 4 printed format, plus, for each required disk, which shall be
- 5 provided by the Secretary of State. . . . . . . . . . . . . 5.00
- (b) The Secretary of State may propose legislative rules for
- 7 promulgation for charges for on-line electronic access to database
- 8 information or other information maintained by the Secretary of
- 9 State.
- 10 (c) For any other work or service not enumerated in this
- 11 subsection, the fee prescribed elsewhere in this code or a rule
- 12 promulgated under the authority of this code.
- 13 (d) The records maintained by the Secretary of State are
- 14 prepared and indexed at the expense of the state and those records
- 15 shall not be obtained for commercial resale without the written
- 16 agreement of the state to a contract including reimbursement to the
- 17 state for each instance of resale.
- 18 (e) The Secretary of State may provide printed or electronic
- 19 information free of charge as he or she considers necessary and
- 20 efficient for the purpose of informing the general public or the
- 21 news media.
- 22 (f) There is hereby continued in the State Treasury a special
- 23 revenue account to be known as the "service fees and collections"
- 24 account. Expenditures from the account shall be used for the
- 25 operation of the office of the Secretary of State and are not

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authorized from collections, but are to be made only in accordance with appropriation by the Legislature and in accordance with the provisions of article three, chapter twelve of this code and upon the fulfillment of the provisions set forth in article two, chapter five-a of this code. Notwithstanding any other provision of this code to the contrary, except as provided in subsection (h) of this section and section two-a of this article, one half of all the fees and service charges established in the following sections and for the following purposes shall be deposited by the Secretary of State or other collecting agency to that special revenue account and used for the operation of the office of the Secretary of State:

- 12 (1) The annual attorney-in-fact fee for corporations and 13 limited partnerships established in section five, article twelve-c, 14 chapter eleven of this code;
- 15 (2) The fees received for the sale of the State Register, code 16 of state rules and other copies established by rule and authorized 17 by section seven, article two, chapter twenty-nine-a of this code;
- 18 (3) The registration fees, late fees and legal settlements
  19 charged for registration and enforcement of the charitable
  20 organizations and professional solicitations established in
  21 sections five, nine and fifteen-b, article nineteen, chapter
  22 twenty-nine of this code;
- 23 (4) The annual attorney-in-fact fee for limited liability 24 companies as designated in section one hundred eight, article one, 25 chapter thirty-one-b of this code and established in section two

1 hundred eleven, article two of said chapter: Provided, That after

- 2 the thirtieth day of June, two thousand eight, the annual report
- 3 fees designated in section one hundred eight, article one, chapter
- 4 thirty-one-b of this code shall upon collection be deposited in the
- 5 general administrative fees account described in subsection (h) of
- 6 this section;
- 7 (5) The filing fees and search and copying fees for uniform
- 8 commercial code transactions established by section five hundred
- 9 twenty-five, article nine, chapter forty-six of this code;
- 10 (6) The annual attorney-in-fact fee for licensed insurers
- 11 established in section twelve, article four, chapter thirty-three
- 12 of this code;
- 13 (7) The fees for the application and record maintenance of all
- 14 notaries public established by section one hundred seven, article
- 15 <del>one, chapter twenty-nine-c</del> <u>section twenty-one</u>, <u>article</u> four,
- 16 chapter thirty-nine of this code.
- 17 <del>(8) The fees for the application and record maintenance of</del>
- 18 commissioners for West Virginia as established by section twelve,
- 19 article four, chapter twenty-nine of this code;
- 20 (98) The fees for registering credit service organizations as
- 21 established by section five, article six-c, chapter forty-six-a of
- 22 this code;
- 23 (109) The fees for registering and renewing a West Virginia
- 24 limited liability partnership as established by section one,
- 25 article ten, chapter forty-seven-b of this code;

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1  $(\frac{11}{10})$  The filing fees for the registration and renewal of

2 trademarks and service marks established in section seventeen,

3 article two, chapter forty-seven of this code;

- 4  $(\frac{12}{11})$  All fees for services, the sale of photocopies and data
- 5 maintained at the expense of the Secretary of State as provided in
- 6 this section; and
- 7  $(\frac{13}{12})$  All registration, license and other fees collected by
- 8 the Secretary of State not specified in this section.
- 9 (g) Any balance in the service fees and collections account
- 10 established by this section which exceeds five hundred thousand
- 11 dollars as of the thirtieth day of June, two thousand three, and
- 12 each year thereafter, shall be expired to the state fund, General
- 13 Revenue Fund.
- (h)(1) Effective the first day of July, two thousand eight,
- 15 there is hereby created in the State Treasury a special revenue
- 16 account to be known as the general administrative fees account.
- 17 Expenditures from the account shall be used for the operation of
- 18 the office of the Secretary of State and are not authorized from
- 19 collections, but are to be made only in accordance with
- 20 appropriation by the Legislature and in accordance with the
- 21 provisions of article three, chapter twelve of this code and upon
- 22 the fulfillment of the provisions set forth in article two, chapter
- 23 eleven-b of this code: Provided, That for the fiscal year ending
- 24 the thirtieth day of June, two thousand nine, expenditures are
- 25 authorized from collections rather than pursuant to an

1 appropriation by the Legislature. Any balance in the account at the

- 2 end of each fiscal year shall not revert to the General Revenue
- 3 Fund but shall remain in the fund and be expended as provided by
- 4 this subsection.
- 5 (2) After the thirtieth day of June, two thousand eight, all
- 6 the fees and service charges established in section two-a of this
- 7 article for the following purposes shall be collected and deposited
- 8 by the Secretary of State or other collecting agency in the general
- 9 administrative fees account and used for the operation of the
- 10 office of the Secretary of State:
- 11 (A) The annual report fees paid to the Secretary of State by
- 12 corporations, limited partnerships, domestic limited liability
- 13 companies and foreign limited liability companies;
- 14 (B) The fees for the issuance of a certificate relating to the
- 15 initial registration of a corporation, limited partnership,
- 16 domestic limited liability company or foreign limited liability
- 17 company described in subdivision (2), subsection (a) of this
- 18 section; and
- 19 (C) The fees for the purchase of date and updates related to
- 20 the State's Business Organizations Database described in section
- 21 two-a of this article.
- 22 (i) There is continued in the office of the Secretary of State
- 23 a noninterest bearing, escrow account to be known as the "prepaid
- 24 fees and services account". This account shall be for the purpose
- 25 of allowing customers of the Secretary of State to prepay for

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1 services, with payment to be held in escrow until services are
2 rendered. Payments deposited in the account shall remain in the
3 account until services are rendered by the Secretary of State and
4 at that time the fees will be reallocated to the appropriate
5 general or special revenue accounts. There shall be no fee charged
6 by the secretary of state to the customer for the use of this
7 account and the customer may request the return of any moneys
8 maintained in the account at any time without penalty. The assets
9 of the prepaid fees and services account do not constitute public
10 funds of the state and are available solely for carrying out the
11 purposes of this section.

NOTE: The purpose of this bill is to adopt the Revised Uniform Law on Notarial Acts (Uniform Law Commission, 2010) to replace both the current Uniform Notary Act (1984) and the Uniform Recognition of Acknowledgments Act (1971).

All remaining provisions of \$29-4 are repealed. The provisions of \$29C-1-1, et seq. and \$39-1A-1, et seq., are repealed.